

1 **From laboratory to clinical practice: Dabigatran effects on**
2 **thrombin generation and coagulation in patient samples**
3

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28 **ABSTRACT**

29 **INTRODUCTION**

30 Dabigatran (Dabi) is not routinely monitored. However, in emergency cases quantitative
31 assessment is required and laboratories must provide suitable tests at all hours. Little is
32 known about Dabi effects on thrombin generation.

33 **MATERIALS AND METHODS**

34 Patient samples (n=241) were analyzed for functional Dabi concentrations (Dabi-TT) using a
35 combination of the Hemoclot Thrombin Inhibitors assay (HTI®) and, for samples with low
36 levels, undiluted thrombin time (TT). Results were compared to prothrombin time (PT) and
37 activated partial thromboplastin time (APTT). In 49 samples Dabi effects were further
38 investigated with Calibrated Automated Thrombogram (CAT®) for thrombin generation and
39 with Russell's viper venom time (RVVT), prothrombinase-induced clotting time (PiCT®),
40 chromogenic Anti-IIa® and ecarin clotting assay (ECA®). Fibrinogen and D dimer were
41 assessed to reflect the coagulation status of the patient. A subset of these samples (n=21)
42 were also analyzed by liquid chromatography-tandem mass spectrometry (LC-MS/MS).

43 **RESULTS**

44 Dabi-TT correlated with RVVT ($R^2 = 0.49$), PiCT® ($R^2 = 0.73$), ECA® ($R^2 = 0.89$), Anti-
45 IIa® ($R^2 = 0.90$) and LC-MS/MS ($R^2 = 0.81$). APTT correlated curvi-linearly with Dabi-TT
46 ($R^2 = 0.71$), but was normal in many cases (18/70) despite Dabi-TT > 40 ng/mL. There was
47 no association between Dabi-TT and fibrinogen or D dimer levels. Increasing Dabi
48 concentrations prolonged lag time ($R^2 = 0.54$) and, surprisingly, elevated the ETP and Peak of
49 CAT® ($p < 0.001$).

50

51 **CONCLUSIONS**

52 Thrombin-specific tests measure Dabi accurately, whereas coagulation time based assays
53 depend more on other factors. The enhanced thrombin generation in Dabi-treated patients
54 may predict clinically relevant hypercoagulability and warrants further investigation.

55 **Keywords:**

56 Anticoagulants, Blood Coagulation Tests, Dabigatran Etexilate, Drug Monitoring, Thrombin
57 Generation

58 **Abbreviations:**

59 Dabi, dabigatran; DTI, direct thrombin inhibitor; PT, prothrombin time; APTT, activated
60 partial thromboplastin time; TT, thrombin time; ECA, ecarin clotting assay; HTI: Hemoclot
61 Thrombin Inhibitors; LC-MS/MS, liquid chromatography-tandem mass spectrometry; PiCT,
62 prothombinase-induced clotting time; FXa, activated coagulation factor X; RVV-V, Russel's
63 viper venom V; RVVT, Russel's viper venom time; IIa, thrombin, Anti-IIa, anti-thrombin
64 activity; PPP, platelet-poor plasma; Dabi-TT, functional dabigatran concentration; CAT,
65 Calibrated Automated Thrombogram; ETP, endogenous thrombin potential

66 **INTRODUCTION**

67 Dabigatran etexilate (Pradaxa®, Boehringer Ingelheim; Dabi) is a direct thrombin inhibitor
68 (DTI) anticoagulant. Due to what is considered predictable pharmacokinetics, routine
69 laboratory monitoring is claimed to be unnecessary [1,2]. Nevertheless, under special
70 circumstances, e.g. renal or hepatic dysfunction, acute bleeding complications or thrombosis,
71 and emergency surgery, assessment of anticoagulation becomes necessary [3,4]. Coagulation
72 laboratories must provide readily available (all hours) and practical tests for measurements of
73 Dabi anticoagulation. In the absence of routine methodologies for assessments under
74 clinically stable situations, it becomes challenging to evaluate anticoagulation during
75 medical emergencies.

76

77 The screening tests prothrombin time (PT) and activated partial thromboplastin time (APTT)
78 are of limited value [5-9]. To better assess Dabi effects, more sensitive methods must be
79 used. Thrombin time (TT) is linear, highly sensitive, and can be calibrated with Dabi to
80 depict its effects [10]. Chromogenic ecarin clotting assay (ECA®) uses the snake venom
81 ecarin to generate meizothrombin, [11-13] with less interferences with, e.g., lupus
82 anticoagulant and warfarin than clot-based assays [14]. TT calibrated with Dabi, Hemoclot®
83 Thrombin Inhibitors (HTI®), and ECA® correlate well with actual Dabi concentrations in
84 plasma as measured by liquid chromatography-tandem mass spectrometry (LC-MS/MS),
85 whereas correlations with APTT are modest and with PT non-existent [8,9].

86

87 Prothrombinase-induced clotting time (PiCT®) uses activated coagulation factor X (FXa),
88 phospholipids and Russel's viper venom V (RVV-V) for activation, leading to

89 prothrombinase complex activation and thrombin formation. Modified PiCT® has been used
90 to measure both DTIs and FXa inhibitors [15]. Russell's viper venom time (RVVT) with a
91 FX-specific activator is sensitive to FXa inhibitors, but might also be useful to assess DTIs
92 [16]. The chromogenic anti-thrombin (IIa) activity (Anti-IIa®) assay detects DTIs. In this
93 assay, excess thrombin is added to the sample and the amount of residual thrombin is
94 measured. Again, interference is less pronounced than in clot-based assays [17].

95

96 Since most coagulation assays measure the time to fibrin formation (i.e., the initiation phase
97 of coagulation), Dabi's many biological effects remain underestimated. Thrombin generation
98 assays measuring the full spectrum of thrombin formation seem justified and potentially
99 more informative [18]. DTIs have been reported to decrease thrombin formation, but the
100 effects vary with different DTIs and are somewhat controversial [19].

101

102 Previously, we have studied the suitability and variability of coagulation assays using *in vitro*
103 spiked plasma samples shipped to several European laboratories [7], and compared methods
104 in anonymized patient samples [8,9]. The relationships between Dabi plasma concentrations
105 by LC-MS/MS and the risks of suffering thromboembolic or major bleeding events in the
106 RE-LY study were recently published, yielding an excellent basis for the interpretation of
107 Dabi concentration data [20]. As patients referred for laboratory testing likely vary in their
108 coagulation status, it is important to evaluate Dabi also in real life patient samples (i.e.,
109 beyond the selected trial patients with standardized sampling). Here, we aimed to assess how
110 well different clotting assays detect Dabi in actual patient samples using indirect
111 measurements by Dabi-calibrated TT (Dabi-TT) as a reference (where Dabi-TT is undiluted

112 thrombin time for dabigatran < 40 ng/mL, and HTI for dabigatran \geq 40 ng/dL). The
113 performance of the functional analysis was confirmed by gold standard measurements by
114 LC-MS/MS in a subset of the samples. Our additional aim was to gain more insight into the
115 impact of Dabi on thrombin generation.

116

117 **MATERIALS AND METHODS**

118 **Study samples**

119 Patient samples sent to the Meilahti hospital coagulation laboratory (HUSLAB Laboratory
120 Services, Helsinki University Central Hospital, Finland) for Dabi concentration analysis
121 were collected during a 5 year period (between 2008 and 2013). A total of 241 random
122 plasma samples (from 85 patients) were accumulated. The specific clinical situation was not
123 recorded, but at that time, Dabi was indicated for postoperative thromboprophylaxis after
124 elective orthopedic surgery (150 or 220 mg once daily) and in patients with atrial fibrillation
125 (either 150 or 110 mg twice daily). The Dabi concentration analysis was freely available in
126 our hospital district with the recommendation to order the test only under special
127 circumstances, such as major bleeding complications, thrombosis or emergency surgery and
128 to order simultaneously PT and APTT. Blood samples were collected into sodium citrate
129 anticoagulant (3.2%; 109 mM) tubes according to the local sampling protocol as part of
130 hospital routine, centrifuged (at 2500 g for 15 min) and the platelet-poor plasma (PPP) was
131 separated within 2 hours and stored at -80 °C before analysis.

132

133

134

135 **Original Dabi concentration analysis supplemented with screening tests**

136 Dabi concentrations were assessed using diluted, Dabi-calibrated TT with HTI® (Aniara) in
137 the 241 stored samples, the analytical range being 40-1000 ng/mL (85-2120 nmol/L) with
138 intra-assay and inter-assay CVs of 7 % and 10 %, respectively. The screening tests TT (BC
139 Thrombin Reagent®, Siemens Healthcare Diagnostics), APTT (Actin FSL®, Siemens
140 Healthcare Diagnostics) and PT (Nycotest PT®, Axis-Shield; Owren-type assay) were
141 performed in parallel according to our routine hospital protocol. TT had a local reference
142 range of 17-25 s and an analytical range of 12-140 s and APTT 23-33 s and 18-180 s,
143 respectively. PT (standard human plasma, Siemens Healthcare Diagnostics) had a reference
144 range of 19-24 s and an analytical range of 16-180 s. The analyses were performed using the
145 BCS® XP automatic analyzer (Siemens Healthcare Diagnostics).
146 Since very low Dabi concentrations could not be measured using HTI® (with the the lower
147 detection limit of 40 ng/mL), we needed to estimate undiluted TT values as Dabi
148 concentrations: TT < 60 s was set to correspond to 0 ng/mL of Dabi; TT 60-100 s to 10
149 ng/mL; TT 100-120 s to 20 ng/mL and TT 120-140 s to 30 ng/mL. The decision of these
150 arbitrary categories was based on the literature and our data; TT has been shown to be linear
151 with Dabi [10] and here, all the samples with measurable quantities of Dabi using HTI®, had
152 an undiluted TT > 60 s. TT values < 60 s were chosen to represent 0 ng/mL instead of the
153 upper local reference range for TT (25 s), since TT is not specific for Dabi effects and the
154 prolongation at TT values \geq 60 s most likely reflect Dabi effects. We then combined the TT
155 data (< 40 ng/mL) with HTI® data (\geq 40 ng/mL) to obtain functional estimates of Dabi
156 concentrations (Dabi-TT) covering the entire concentration range.

157

158 **Further analysis of Dabi with thrombin-specific assays**

159 We further analyzed the stored patient samples and assessed them with a large panel of
160 clotting assays and thrombin generation as described below. We included only samples with
161 comprehensive results from all methods, i.e., a total of 49 samples (35 patients).

162

163 The chromogenic Anti-IIa® (Direct Thombin Inhibitor assay®, Siemens Healthcare
164 Diagnostics), the chromogenic ECA® (Haemosys® ECA-T, JenAffin), the RVVT (DVVTest
165 10®, Sekisui Diagnostics) and the PiCT® (Pefakit® PiCT, Pentapharm) were evaluated
166 regarding their potential to quantify Dabi. All analyses were performed using BCS® XP.

167

168 In the Anti-IIa® assay, 25 µL of sample was mixed with 50 µL of substrate and the reaction
169 initiated with 250 µL of thrombin reagent. In the ECA®, 25 µL plasma sample was diluted
170 with 100 µL ECA® prothrombin buffer and mixed with 25 µL ECA® substrate, incubated
171 (37 °C, 1 min), and the reaction was started with 50 µL of the ECA® ecarin reagent. Both the
172 Anti-IIa® and the ECA® assays were calibrated for Dabi using calibrators from Aniara to
173 achieve plasma Dabi concentrations of 0, 30, 250 and 510 ng/mL.

174

175 The RVVT, which is part of a screening panel in lupus anticoagulant testing, had the local
176 upper normal reference value of 41 s. The PiCT® assay was performed using the 2-step
177 protocol: 50 µL of sample was mixed with 50 µL of activator (containing RVV-V, FXa and
178 phospholipids). At 180 s incubation 50 µL of 25 mM CaCl₂ was added and the clotting time
179 measured. The PiCT® reagents induce antithrombin inhibition of FXa. The manufacturer
180 reports a reference range of 19-31 s for PiCT®.

181

182 Thrombin generation was measured using Calibrated Automated Thrombogram® (CAT®,
183 Diagnostica Stago) with the Stago PPP reagent (tissue factor 5 pM and phospholipids 4 µM)
184 without corn-trypsin inhibitor. The lag time of the initiation of thrombin generation, and the
185 endogenous thrombin potential (ETP), peak, time to peak, and time to the end of thrombin
186 generation (start of tail) were measured according to the manufacturer's instructions. For
187 comparison, ten plasma samples from three healthy volunteers were analyzed in parallel with
188 the patient samples. These healthy controls had averages of 2.1 min (range 1.6-2.7 min), 997
189 nM/min (range 682-1248 nM/min) and 166 nM (range 90-254 nM) for lag time, ETP and
190 peak, respectively. The inter-assay variability of the thrombin generation runs was studied
191 with ten samples of standardized plasma (Octaplas®, Octapharm) and CVs of 7 %, 24 % and
192 17 % were obtained for lag time, ETP and peak, respectively, in agreement with previously
193 reported CV values [21].

194

195 D-dimer (Tina Quant D Dimer®, Roche Diagnostics) and fibrinogen (with two different
196 reagents, Multifibren U® and Dade Thrombin®, both Siemens Healthcare Diagnostics)
197 levels were obtained according to manufacturer's recommendations. These fibrinogen
198 reagents were chosen because of the previously reported interference of Dabi [22]. Our local
199 normal range for D-dimer was < 0.5 mg/L and for the fibrinogen method Multifibren U®
200 1.7-4.0 g/L.

201

202

203

204 **Comparison of Dabi-TT with mass spectrometry**

205 The Dabi-TT measurement was validated in a subgroup of 21 unselected samples at the
206 Karolinska University Hospital, Stockholm, Sweden, by measuring free Dabi concentrations
207 using LC-MS/MS, which quantifies Dabi accurately down to 1 ng/mL [8,9]. The samples
208 were prepared by protein precipitation with acetonitrile containing internal standard
209 dabigatran-d3 (Toronto Research Chemicals). After centrifugation, the supernatant was
210 diluted with an equal amount of mobile phase A (10 mM ammonium formate pH 4.5). The
211 analytes were detected using a mass spectrometer operating in positive electrospray
212 ionization mode, utilizing selected reaction monitoring with ion transitions 472→289 m/z for
213 Dabi and 475→292 m/z for the internal standard. The method was linear over the range 1.1–
214 412 ng/mL.

215

216 **Statistical analysis**

217 Linear or non-linear (for APTT) regression analysis was used to assess correlations between
218 the measurements. We compared the means of different sample groups with Mann-Whitney's
219 U test. Microsoft Excel®, and IBM SPSS statistics® programs (version 21) were used for
220 the statistical analysis.

221

222 **RESULTS**

223 **Dabi-TT compared with other clotting assays – all samples**

224 Dabi-TT values varied markedly in the 241 patient samples, averaging 71 ng/mL, with a
225 median of only 10 ng/mL, and a range of 0-1000 ng/mL (SD 118). The undiluted TT was, as
226 expected, sensitive to Dabi and yielded values within the measurement range at Dabi-TT

227 values below 40 ng/mL. 153/241 (63 %) samples gave TT values < 140 s (corresponding to
228 HTI values < 40 ng/mL), and 88/241 (37 %) were prolonged above an undiluted TT value of
229 140 s. There was one outlier: TT 46 s with a HTI of 160 ng/mL (APTT being normal, 29 s).

230

231 In a subset of 21 samples, Dabi concentrations in plasma were assessed using LC-MS/MS
232 with a mean of 73 ng/mL, (median 78, range 2-150 ng/mL). The Dabi-TT values correlated
233 well with LC-MS/MS values, but were, on average, 10 ng/mL lower ($R^2 = 0.81$, bias 13.7 %;
234 Fig 1A). The Anti-IIa® and ECA® values correlated better than Dabi-TT with LC-MS/MS,
235 but had larger overall bias than Dabi-TT at these rather low Dabi concentrations ($R^2 = 0.96$
236 and 0.90; bias 18.4 % and 14.5 %, respectively; Fig 1B-C).

237

238 The APTT averaged 35 s with a median of 33 s (range 20-77 s). The APTT was prolonged
239 with increasing Dabi-TT in a curvilinear manner (linear $R^2 = 0.68$, quadratic $R^2 = 0.71$; Fig
240 2A). One sample with an unmeasurable APTT value above 180 s and a Dabi-TT of 46
241 ng/mL, was excluded from the correlation analysis as an outlier. The APTT was normal
242 (within the reference range of 23-33 s) in 18/70 (26 %) samples having Dabi-TT values of
243 40-160 ng/mL (Fig 2B) and 137/241 (56 %) of all samples. Even at a Dabi-TT of 160 ng/mL,
244 one sample had normal APTT (29 s). When Dabi-TT exceeded 160 ng/mL (n=30), the APTT
245 was always prolonged.

246

247 The PT results averaged 22 s with a median of 22 s (range 17-50 s). The PT (Owren type)
248 results correlated poorly with Dabi-TT ($R^2 = 0.13$). The PT was abnormal (> 24 s) in only

249 48/241 (20 %) of the samples. Also, in samples with Dabi-TT of 40 ng/mL or above, the PT
250 was abnormal in only 26/99 (26 %).

251

252 **Dabi-TT compared with other clotting assays – subgroup with a full set of data**

253 In the patient subgroup with a full methodological set of data (n=49), Dabi-TT, APTT and PT
254 gave similar results as observed in the entire material (Table 1). The Anti-IIa® and the
255 ECA® correlated very well with the Dabi-TT method, with R^2 values of 0.90 and 0.89,
256 respectively (Fig 3A-B). As expected, Anti-IIa® and ECA® results were almost identical (R^2
257 = 0.99, bias = 3.6 %).

258

259 The PiCT® method showed prolonged readings with increasing Dabi-TT ($R^2 = 0.73$; Fig
260 3C). The PiCT® values were prolonged in 33/49 samples (67 %), and also correlated with
261 the APTT values ($R^2 = 0.76$). When Dabi-TT was above 40 ng/mL, the PiCT® was
262 prolonged in 48/49 (98 %) samples.

263

264 The RVVT was also prolonged with increasing Dabi-TT ($R^2 = 0.49$). The RVVT was normal
265 (< 41 s) in only 9/49 samples (18 %), which all had low Dabi-TT values (< 40 ng/mL). The
266 correlation appeared to be linear, as opposed to that for APTT (Fig 3D). When Dabi-TT
267 exceeded 40 ng/mL, the RVVT was always prolonged. However, RVVT and PiCT® results
268 correlated only modestly ($R^2 = 0.59$).

269

270 **Thrombin generation using CAT®**

271 In 49 patient samples, the lag time was prolonged as expected with increasing Dabi-TT
272 values ($R^2 = 0.54$; Fig 4A). Lag time values with Dabi-TT below 40 ng/mL were

12

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273 significantly shorter than with Dabi-TT above 40 ng/mL ($p < 0.001$). Time to peak resembled
274 the lag time. Surprisingly, ETP and peak values increased with Dabi-TT values above 40
275 ng/mL, and up to 225 ng/mL ($p < 0.001$). However, in one sample, at a high Dabi level of
276 335 ng/mL, ETP and peak values were relatively low, 2757 nM/min and 272 nM,
277 respectively (Fig 4B-C). Despite the prolonged lag time and time to peak, Dabi did not affect
278 the total width of the thrombin generation curve (from start of tail to lag time). Accordingly,
279 the lag time, ETP and peak were all significantly elevated in samples with prolonged PiCT®
280 (over 31 s; $p < 0.001$).

281

282 In addition to these 49 samples, CAT® did not provide valid results in 23 other samples:
283 thrombin generation curves were produced, but the calibrator control (using alpha-2-
284 macroglobulin-thrombin complex) failed. Thus, these samples could not be included in the
285 analysis. These samples had significantly higher Dabi-TT values (259 ng/mL, on average)
286 than the other 49 (42 ng/mL; $p < 0.001$).

287

288 **Fibrinogen and D-dimer**

289 In the 49 sample subset neither fibrinogen nor D-dimer levels correlated with Dabi-TT. D-
290 dimer levels were fairly high with a median of 0.6 mg/L (range 0.0-4.0 mg/L). Fibrinogen
291 levels were also somewhat elevated and the two methods gave similar results: median of 4.3
292 g/L for both and ranges of 2.4-10.6 g/L for Multifibren U® and 2.2-9.0 g/L for the Dade
293 thrombin® reagents. Generally, the reagents provided similar results at all Dabi-TT levels
294 ($R^2=0.98$; $p < 0.001$). Fibrinogen levels did not associate with the ETP or peak. In samples

295 where fibrinogen exceeded 4.0 g/L, the results were similar to those with fibrinogen within
296 the normal range (Mann-Whitney U test for difference: $p = 0.43$ for ETP, $p = 0.12$ for peak).

297

298

299 **DISCUSSION**

300 With increasing usage of Dabi (Pradaxa®), laboratories will face the challenge of providing
301 methods to accurately measure its effects. Even if routine measurements are considered
302 unnecessary, measurements will be valuable for the adjustment of Dabi dosages in certain
303 patient groups, as data from the RE-LY trial point out [20]. Rapid and reliable measures of
304 anticoagulation will often be needed in connection with serious bleeds or risky interventions.
305 We have shown that Dabi analyses in spiked samples yield highly variable results [7]. In
306 clinical patient samples, the challenge is even greater. Methods assessing thrombin activity
307 might offer good overall knowledge on Dabi effects. Here, the specific and commercially
308 available assays HTI®, ECA® and Anti-IIa® were shown to measure Dabi with acceptable
309 precision, as confirmed by LC-MS/MS. To assess Dabi effects on coagulation more broadly,
310 we performed coagulation time assessments with different activators (APTT, RVVT, PiCT®)
311 and the CAT® assay. To our knowledge, such a wide comparison of different methods using
312 patient samples has not been performed previously. There is little or no data on the effects of
313 Dabi on the RVVT. Dabi prolonged the coagulation time with the APTT in an unpredictable
314 manner, whereas the RVVT and PiCT® assays showed linear relationships. CAT® provided
315 paradoxically elevated ETP and peak values with increasing Dabi concentrations, whereas
316 the lag time was prolonged, suggesting that *in vivo* the consequences of inhibiting thrombin
317 are not straightforward.

318

319 The ECA® and Anti-IIa® assays provided results which correlated very well with Dabi-TT
320 and, in a subset of samples, also with LC-MS/MS. It has been previously reported that the
321 HTI® assay can measure Dabi concentrations in plasma accurately above 50 ng/mL, but less
322 reliably than the ECA® at lower concentrations [8,9]. As the Anti-IIa® measures thrombin
323 directly it is potentially less susceptible to interference. The ECA® and Anti-IIa® had a wide
324 measurement range and agreed well with LC-MS/MS. They seemed to measure levels below
325 40 ng/mL of Dabi more accurately than HTI®, but this difference might not be clinically
326 relevant. Anti-IIa® was the functional assay that correlated best with LC-MS/MS.

327

328 Dabi significantly affects thrombin generation in patient samples. In this study, the lag time
329 and time to peak were prolonged with increasing Dabi concentrations, as reported previously
330 [23,24]. However, the ETP and peak values were very high in comparison with normal
331 samples and also paradoxically enhanced with increasing concentrations of Dabi. Previous
332 reports on this have been inconsistent. Dabi has been shown to exert hypercoagulable effects
333 at therapeutic concentrations [25-27], but some contradictory reports exist [19,23,24, 28].
334 DTIs have been reported to inhibit thrombomodulin-mediated activation of protein C, and
335 fibrinolysis at high concentrations of thrombomodulin [25,29,30]. Thus, Dabi might be less
336 effective than anticipated in thrombogenic situations. States with enhanced thrombin
337 generation, such as the anti-phospholipid syndrome, cancer, and ongoing thrombosis may
338 compromise the efficacy of Dabi [31-33].

339

340 There are limitations when using the CAT® assay, due to confounding preanalytical and
341 analytical factors. Since the patient samples were collected as part of our hospital protocol,

342 only a single centrifugation step was performed before CAT® analysis. Single as opposed to
343 double centrifugation might elevate the ETP and peak values, but not at the high tissue factor
344 concentration of 5 pM [34]. These values increased with higher Dabi concentrations while
345 being low in our controls. Interferences in the method were likely, as the CAT® assay often
346 failed at high Dabi concentrations. Dabi may affect the alpha-2-macroglobulin-thrombin
347 complex, required in the calibration of the assay [18,26,27]. This has been speculated to
348 erroneously elevate ETP and peak values in the CAT® assay at thrombin inhibitor
349 concentrations up to 200 nM (94 ng/ml) [35]. Yet, in a recent study using spiked plasma
350 samples, prothrombin fragments F1+2 were also elevated [25]. In our study, ETP and peak
351 values were elevated with increasing Dabi concentration (Fig 4B-C).

352

353 The RVVT and PiCT® assays correlated fairly well with Dabi concentrations above 40
354 ng/mL; the RVVT was consistently prolonged as was the PiCT®, with only a single
355 exception (Fig 3). As the RVVT is generally available for lupus anticoagulant screening it
356 could be adopted also as an indicator test for Dabi. Conversely, it is important to exclude the
357 presence of Dabi in the RVVT-based diagnosis of lupus anticoagulant. Both the PiCT® and
358 the RVVT can be used to measure FXa inhibitors as well [15,16]. With these assays,
359 laboratories might be able to use a single test to exclude pronounced effects of thrombin and
360 FXa inhibitors. However, we must learn more about potentially interfering and complicated
361 preanalytical and clinical factors when using these assays for this purpose.

362

363 The major limitation of the present study is the lack of clinical data on the patient samples
364 and the timing in relation to last drug intake. However, the aim of the study is merely to
365 document the performances of various laboratory tests. Clinical outcomes require much

366 larger materials for interpretation, such as that provided for Dabi measurements in plasma
367 [20]. Dabi concentration measurements were requested according to clinical need, and the
368 many samples with low levels imply exclusion of important Dabi concentrations before an
369 intervention or continued monitoring after the cease of a bleed.

370

371 The majority of patients had high levels of fibrinogen and D-dimer, and as expected, Dabi
372 levels did not correlate with those. The two different fibrinogen measurement methods gave
373 similar results at all Dabi levels. In previous spiking experiments some fibrinogen assays
374 have underestimated the fibrinogen level in the presence of Dabi at concentrations exceeding
375 200 ng/mL (22). In our patient samples, only 3/49 contained more than 200 ng/mL of Dabi,
376 thus precluding firm conclusions. Dabi interferes with many clot-based assays [36]. These
377 findings reflect the challenges facing clinicians and laboratorians alike; the Dabi
378 concentration alone will not suffice for the interpretation of coagulation.

379

380 **CONCLUSIONS**

381 Several laboratory methods may be used to assess the anticoagulant effects of Dabi in
382 patients. Using screening tests supplemented with additional specific coagulation-based tests,
383 Dabi effects can be accurately assessed. Measurements by TT (undiluted and diluted as in the
384 Hemoclot Thrombin Inhibitors® assay), ECA® and Anti-IIa® can be calibrated to quantify
385 Dabi. However, Dabi concentrations alone are not comprehensive, as thrombin generation
386 can be paradoxically high and the APTT normal, reflecting enhanced coagulation activity
387 even in patients with rather high levels of Dabi. PiCT® and RVVT measurements cover a
388 broader spectrum of coagulation and could be applied in addition to Dabi quantification to

389 depict the coagulation status of the patients' plasma. The CAT® had limited technical
390 performance and abilities to quantify Dabi in plasma, but may provide new insight into the
391 effects of thrombin inhibition *in vivo*.

392

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398

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519 **FIGURE CAPTIONS:**

520

521 **Figure 1:** Functional dabigatran concentrations (Dabi-TT) with modified thrombin time
522 were well correlated ($R^2=0.81$) with gold standard liquid chromatography-tandem mass
523 spectrometry (LC-MS/MS; A). Anti-IIa® correlated with LC-MS/MS even better ($R^2=0.96$;
524 B). ECA® also correlated well ($R^2=0.90$; C). ECA®, Ecarin clotting assay.

525

526 **Figure 2:** Increasing functional dabigatran concentrations (Dabi-TT) were associated with
527 the APTT in a curvilinear manner (A). However, APTT values could be normal (below 33 s)
528 even with therapeutic dabigatran concentrations up to 160 ng/mL (B).

529

530 **Figure 3:** Functional dabigatran concentrations (Dabi-TT) correlated with Anti-IIa® (A;
531 $R^2=0.90$), ECA® (B, $R^2=0.89$), PiCT® (C; $R^2=0.73$) and RVVT (D; $R^2=0.49$). Anti-IIa®
532 and ECA® results were virtually the same ($R^2=0.99$). ECA®, Ecarin clotting assay; PiCT®,
533 prothrombinase induced clotting time; RVVT, Russel's viper venom time.

534

535 **Figure 4:** Increasing functional dabigatran concentrations (Dabi-TT) prolonged the lag time
536 (A; $R^2=0.54$), but the ETP (B) and the peak were paradoxically increased with increasing
537 Dabi-TT (C). Maximum values of lag time, ETP and peak obtained in the controls are
538 shown. Dabi-TT; dabigatran concentration measured by dabigatran-calibrated thrombin time,

539 E

540 T

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Table 1: Coagulation and thrombin generation in samples from 49 patients using dabigatran

	Clotting assays				Chromogenic assays		Thrombin generation (CAT®)			
	Dabi-TT (ng/mL)	APTT (s)	PT (s)	PiCT® (s)	RVVT (s)	Anti-IIa® (ng/mL)	ECA® (ng/mL)	Lag time (min)	ETP (nM/min)	Peak (nM)
Mean	63	33	23	48	66	68	66	8.7	2912	629
Median	42	30	22	45	59	40	40	6.7	1856	429
SD	75	8	4	21	29	78	77	5.9	2127	466
Range	0-335	21-59	18-41	22-113	31-181	0-450	0-440	2.0-24.0	836-7945	165-2023
Normal range	NA	23-33	19-24	19-31	< 41	NA	NA	1.6-2.7	682-1248	90-254
Number of abnormal	NA	20 (40%)	9 (18%)	33 (67%)	40 (82%)	NA	NA	44 (90%)	40 (82%)	39 (80%)

CAT®, Calibrated Automated Thrombogram; Dabi-TT, functional dabigatran concentration using modified thrombin time; APTT, activated partial thromboplastin time; PT,

prothrombin time; PiCT®, prothrombinase induced clotting time; RVVT, Russel's viper venom time; Anti-IIa®, anti-thrombin activity; ECA®, ecarin clotting assay; ETP,

endogenous thrombin potential; SD, standard deviation; NA, not applicable.

Figure 1
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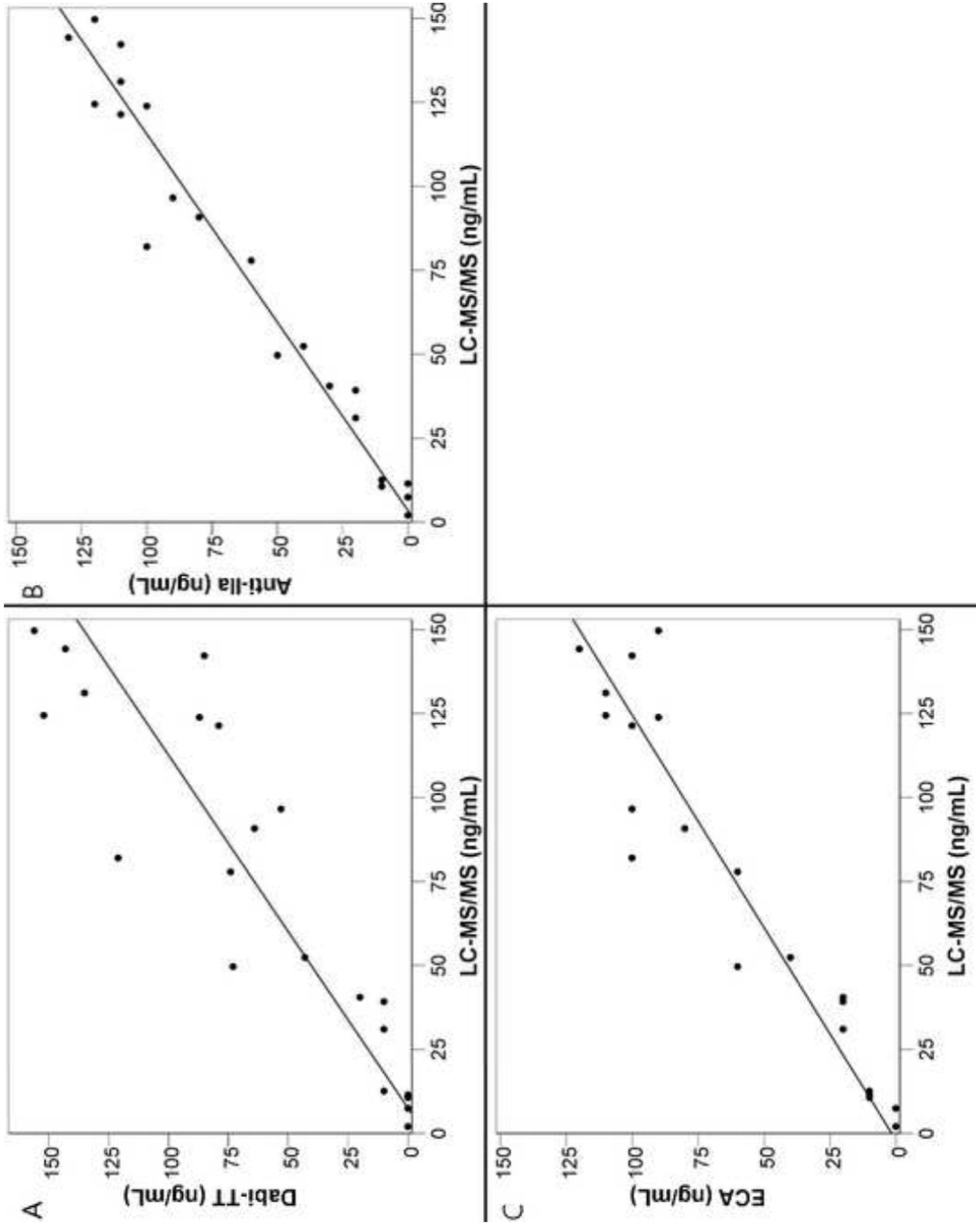


Figure 2
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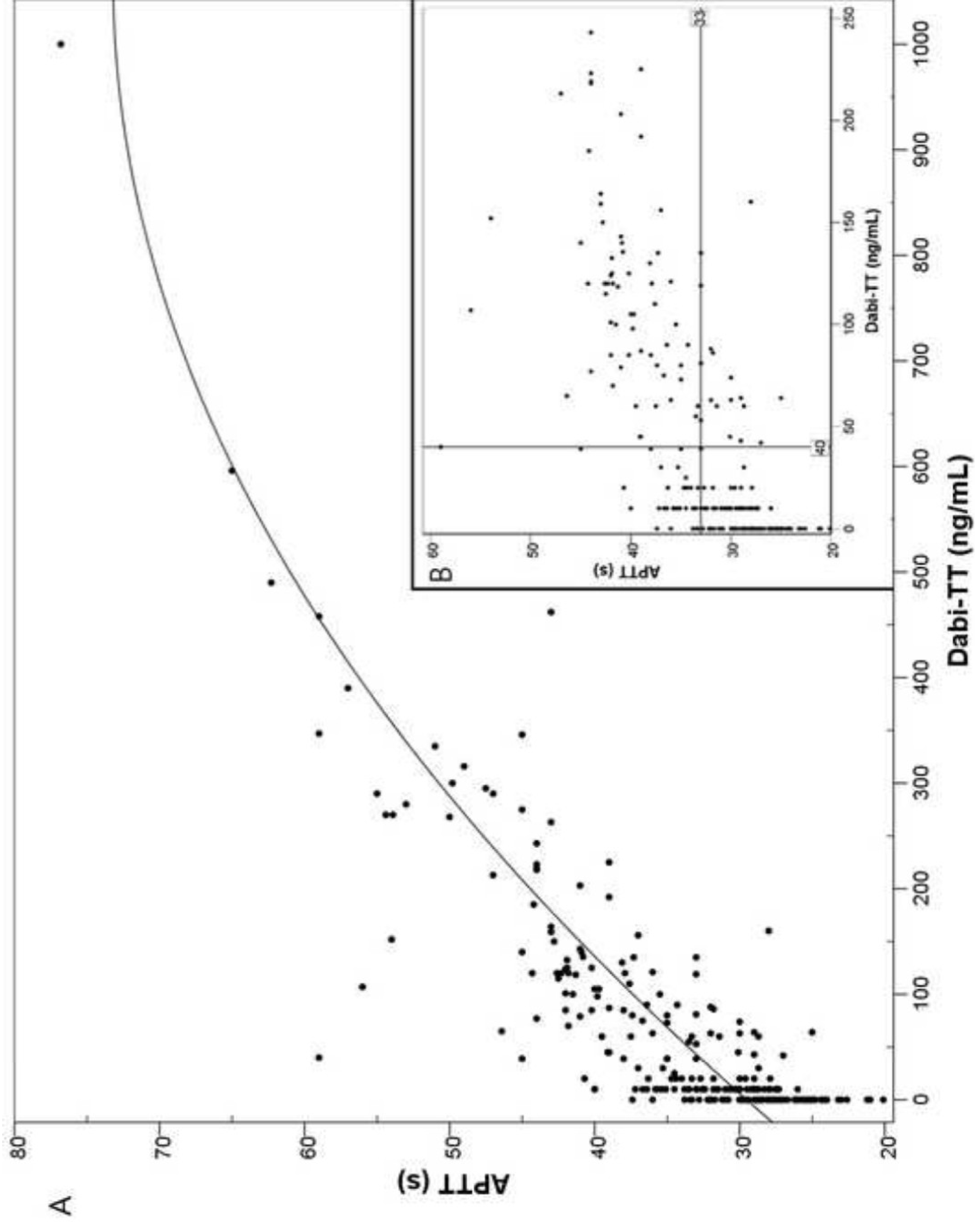


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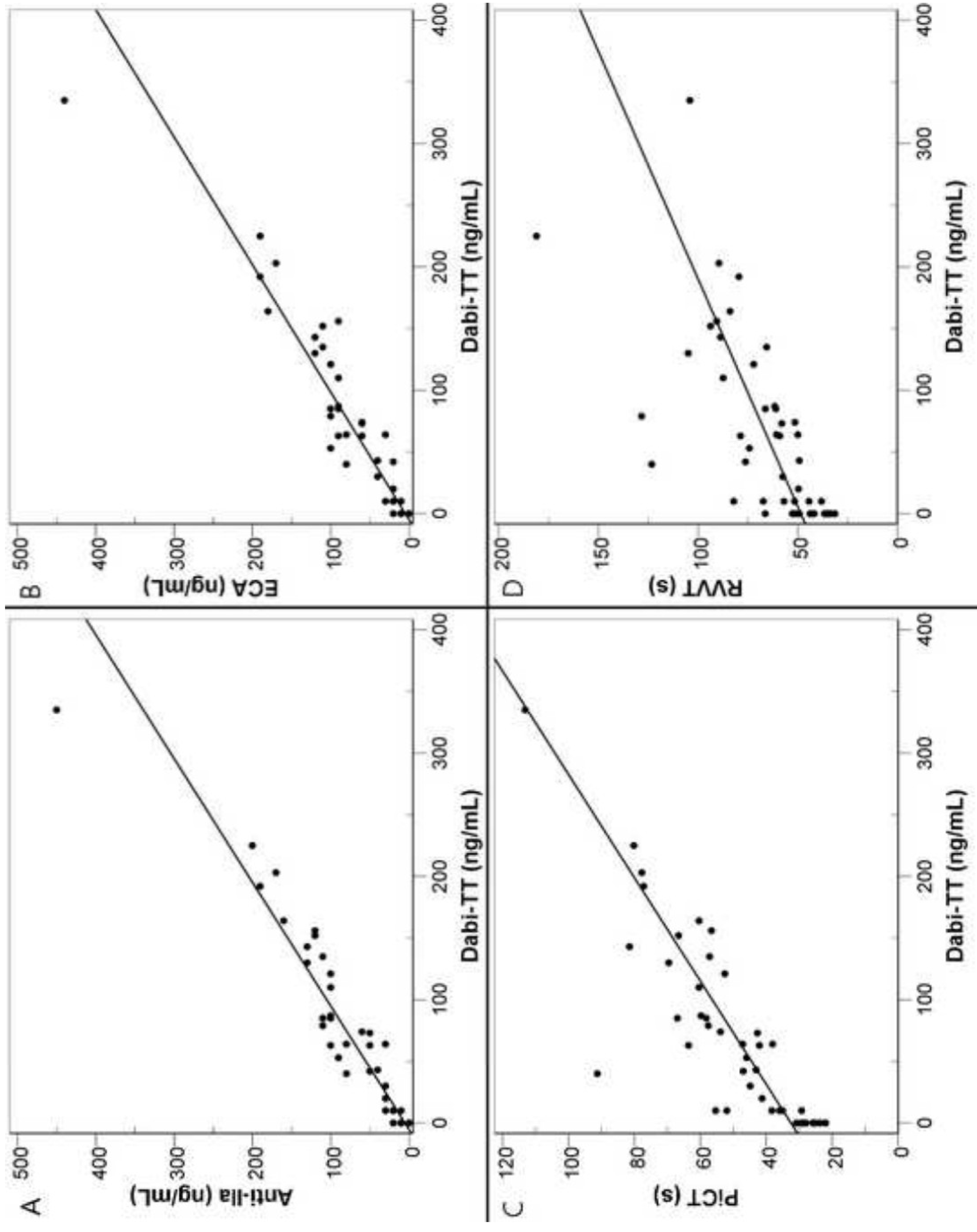


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